



Express Mail No. 177 032 031 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Henrick and Wang

Serial No.: 09/935,218

Group Art Unit: 2152

Filed: August 22, 2001

Examiner: TBA

For: ENHANCEMENT OF
COMMUNICATIONS BY
PEER-TO-PEER COLLABORATIVE
WEB BROWSING

Attorney Docket No.: 10466-005

3
S. Sund
complete

REQUEST FOR REFUND AND
TRANSMITTAL OF VERIFIED STATEMENT CLAIMING SMALL ENTITY
STATUS UNDER 37 C.F.R. §§ 1.9(f), 1.27

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attorneys for Applicants submit herewith executed Verified Statements
Claiming Small Entity Status - Independent Inventor from Robert F. Henrick and Tzyh-Jong
Wang. It is respectfully requested that Small Entity Status be established for the application.

Accordingly, a filing fee in excess of the required fee has been paid in
connection with the above-identified patent application. In particular, a fee of \$1538.00 has
been paid. Because the application is entitled to small entity status under 37 C.F.R. §§
1.9(d,f), 1.27, a fee of only \$769.00 was required. Please refund the excess by crediting
\$769.00 to Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Date

10/19/01

Respectfully submitted,

U.C. Zely by No. 36,196

Francis E. Morris 24,615

Francis E. Morris

(Reg. No.)

PENNIE & EDMONDS LLP

1155 Avenue of the Americas

New York, New York 10036-2711

(212) 790-9090

Received
2/28/02



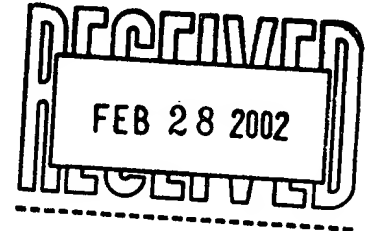
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

TC 2100

February 7, 2002

MEMORANDUM FOR: TC Others
Mary Adel

From: Frank Lebron
Receipt Accounting Division



Subject: FORWARDING REFUND LETTERS

The Office of Finance is forwarding refund request letter(s) for your immediate review. Referring to RAM, it was determined that these applications are located in your area. **Please send a copy of the 'transaction list' (CR06) print-out after processing the request for refund to the Office of Finance, CPK1, suite 307, attn: Frank Lebron. If the request for refund is denied, please forward copy of letter that is initiated by your organization to the address mentioned above. If you have any questions, please contact the Office of Finance at 305-4231.**

Case Number

09133734	09973825	✓ 29150801	29150800	09730723	09535837
09634267	09935218	✓ 09765236	09528986	09924599	09523317
09901033	08823944	09588728	09599913	29144117	09954613
09961959	08855499	08711300	09509152 ✓	09753591	09922133
09939469	09795687	09212386	09824486	09524354	09751031
09590824					